



PRESENTS

Anti-Money Laundering 3rd Annual Summit (Nepal) January 25, 2018

Fintelekt[®]

- Conference Partner

Globally, new measures are being introduced to combat Anti-money laundering (AML) and financing of terrorism. The risk posed under non-compliance of AML/CFT has been challenging for banking and financial institution to maintain, as it has been increasingly complex with advent of new trends and issue related to trade-based money laundering, modern payment products and AML risk management.

National Banking Institute has been organizing AML/CFT every year, Last year report on AML/CFT by Fintelekt on banks and financial institution of Nepal stressed the process of governance, risk identification and assessment training and technology as a pillars of a sustainability AML compliance environment.

National Banking Institute has been taking active role in bringing together regulators, international/national experts, practitioners and consultants and discuss on various aspects of AML and CFT.

The flagship 3rd annual summit on Anti-Money Laundering to be organized by National Banking Institute is expected to leverage the existing dialogue in the country towards the strengthening and recognizing improvement areas in Nepal's AML/CFT regime through knowledge sharing on latest tools, techniques, processes and technologies.

Key Speakers

Mr. RAVI LAHOTI
Principal Officer and Head-AML at HDFC Bank

Mr. PRASHANT MUDDU
Managing Director & CEO, Jocata Financial
Advisory & Technology

Mr. ROHIT KAUL
Risk Specialist, South Asia, Thomson Reuters

Other Local Experts.



Date : 25th January, 2018

Time: 9:00 am to 5:00 pm

Venue : Hotel Radisson, Kathmandu

Program Details

Program Highlights

- Top 5 KYC/AML issues facing financial institution.
- Decoding Red Flag indicators and identifying suspicious patterns.
- Customer on-Boarding Best Practices.
- Challenges and Best Practices
- The Use of Artificial Intelligence in combating Trade based Money Laundering.
- Panel Discussion: Emerging AML Risk and Vulnerability

Panel Discussion:

Emerging AML Risks and Vulnerabilities.

Key issues to be discussed are:

- What are global trends in area of AML-CFT?
- What are the local challenges in area of AML risk management and how can bank overcome such issues in future?
- What can be possible impact posed by non-compliance of AML-CFT regime on bfi's in Nepal, and what imperative action should bfi's take in order to address the vulnerabilities ?

Target Audience

- ⇒ Senior Executives
- ⇒ Department Heads
- ⇒ Operations Managers / Officers
- ⇒ Compliance Manager/ Officers
- ⇒ Internal Auditors
- ⇒ Treasury Managers/ Officers
- ⇒ Remittance Managers/ Officers
- ⇒ KYC Officers
- ⇒ Branch Managers

Central Plaza, 6th Floor, Narayanchour, Naxal, Kathmandu.

T: +977 1 4415903/5, 4436001

F: +977 1 4441351

E: programs@nbi.com.np, W: www.nbi.com.np

Facilitator Profile

Mr. RAVI LAHOTI

Principal Officer and Head-AML at HDFC Bank

Ravi Lahoti is currently the Principal Officer & Head of Anti-Money Laundering at HDFC Bank, one of India's leading private sector banks. He has worked across the country in various location in HDFC Bank in Internal Audit, Risk Management, SOX Compliance and Finance.

He is well versed with both business and compliance and has done extensive research on trade based money laundering. Ravi is a Chartered Accountant and Company Secretary and has been a career banker with HDFC Bank.

Mr. PRASHANT MUDDU

Managing Director & CEO, Jocata Financial Advisory & Technology

Prashant Muddu is Managing Director and CEO of Jocata Financial Advisory and Technology. He has close to 15 years of experience spans the breadth of financial service institutions from large global banks to small community based cooperatives. He specializes in development of model used for customer classification, risk ranking, designing and implementation of client due diligence system , trade surveillance , anti-money laundering system, etc.

Prior to working with Jocata, Mr. Muddu was employed at the New York office of Deloitte and Touché, LLP with the Forensic and Dispute Services practice. As part of the analytics group, He holds strong expertise in projects addressing in-depth analysis of financial transactions in regulatory and compliance contexts, including anti-money laundering, antiterrorist financing and Know-Your-Customer (KYC) in the financial services industry. He also has extensive experience in the field of data warehousing and business intelligence implementing projects in the fields of Financial and Litigation Management as well as Manufacturing.

Mr. ROHIT KAUL

Risk Specialist, South Asia, Thomson Reuters

Rohit in his current capacity with Thomson Reuters is responsible for leading business engagements combining Thomson Reuter's deep & global expertise in Risk Intelligence and Financial Crime Compliance and creating solutions for South Asia market. He has over 10 years of business experience in Data and Information industry with organizations like Dow Jones, Dun & Bradstreet in the credit, regulatory risk and compliance space. His areas of expertise cover Sanctions, AML/KYC/CFT and Third Party Risk.

Rohit has a Bachelor of Engineering degree in Environmental Sciences and MBA from IMT, Ghaziabad.

Central Plaza, 6th Floor, Narayanchour, Naxal, Kathmandu.

T: +977 1 4415903/5, 4436001

F: +977 1 4441351

E: programs@nbi.com.np, W: www.nbi.com.np

Itinerary

Time	Particular
9:00 am - 9:30 am	Registration Tea/Coffee
9.30 am - 10.30 am	Inaugural session National Banking Institute. Fintelekt Advisory Services Nepal Rastra Bank
10:30 am to 10:45 am	Tea/Coffee
10:45 am to 11:15 am	Top 5 KYC/AML Issues Facing Financial Institutions Rohit Kaul, Risk Specialist- South Asia, Thomson Reuters.
11:15 am - 12:00 pm	Decoding Red Flag Indicators and Identifying Suspicious Patterns Ravi Lahoti, Principal Officer & Head AML, HDFC Bank, India
12:00 pm - 12:45 pm	Identifying Risks in Banking Relationship Piyush Chawla, Head of Sales, South Asia, Accuity
12:45 pm - 1:45 pm	Lunch
1:45 pm-2:45 pm	The Use of Artificial Intelligence in Combating Money Laundering Prashant Muddu, MD & CEO, Jocata Financial Advisory
2:45 pm - 3:00 pm	Tea/Coffee
3:00 pm- 4:30 pm	Panel Discussion: Emerging AML Risk and Vulnerabilities. Global Trend Local Challenges Impact and Imperatives for Nepalese banks.
4:30 pm -4:45 pm	Closing Ceremony

Central Plaza, 6th Floor, Narayanchour, Naxal, Kathmandu.

T: +977 1 4415903/5, 4436001

F: +977 1 4441351

E: programs@nbi.com.np, W: www.nbi.com.np