



AML/CFT-KYC

Program Takeaways

The program will help in understanding:

- How a BFI can take business and AML/CFT together
- How a BFI can best expand business together with AML/CFT compliance
- How AML/CFT help expanding business
- How minimum resources and staffs can work on AML/CFT
- How a BFI can best implement newly amended but rigorous laws with limited resources
- Linkage between Banking and AML/CFT measures and their inseparability
- Instruments that help assessing risk and focusing only on the high risk areas
- The easy modality & benefits of applying AML/CFT (including KYC/CDD) with institutions
- The way of dealing with customers in relation to AML/CFT
- The matters of training to junior staffs to face with the customers
- Measures applicable to face problems on AML/CFT in the course of day-to-day business
- The matters and mechanisms of reporting to high level officials
- The supervisory modality and measures to compliance
- Sanctions and their vicious impacts on individual, institution and the national for non-compliance
- How BFI can save itself form Regulatory Sanction of 5 Karor & Criminal charge in AML/CFT
- How AML/CFT policies and procedures are developed for the institution
- Concept, values, benefits of AML/CFT measures in Nepalese context & their benefits to institutions

Program Details

Date & Time: 18th February, 2023 (8.00am-3.15pm)

Duration: 4 sessions (6 hours)

Venue: Hotel Devotee, Dhangadi

Course Outline

S.No.	Title
1.	AML/CFT: General Overview and Nepalese Regime
2.	Compliance of <ul style="list-style-type: none">• <i>CDD/KYC</i>• <i>Standard/Enhanced/Simplified</i>• <i>Identification and verification of</i>• <i>Including PEP /High Risk Customers,</i>• <i>Beneficial Owner</i>• <i>Acceptance/Denial</i> SR III Compliance
3.	Risk Based Approach <ul style="list-style-type: none">• <i>Customer</i>• <i>Product</i>• <i>Services</i>• <i>Geography</i>• <i>Delivery channel</i>• <i>Exercise</i> Low cost and effectiveness Minimization of Adverse Impact on BFI
4.	Reading between lines and Reporting <ul style="list-style-type: none">• <i>Monitoring</i>• <i>STR Detection</i>• <i>KYC</i>• <i>Operation and Activities</i>• <i>Outside Information</i>• <i>Matching and mining data</i>• <i>Statements</i> Tipping off and Confidentiality
5.	Compliance Mechanisms, Supervisory Expectations and Sanctions <ul style="list-style-type: none">• <i>Internal Compliance Instruments</i>• <i>Policy, Procedures and Internal Controls</i>• <i>Severe Risk factors</i>• <i>Sanctions (Financial, Institutional or individual)</i>• <i>Impact of Sanctions</i>

Faculty Profile



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