



# AML/CFT-KYC

## Program Takeaways

The program will help in understanding:

- How a BFI can take business and AML/CFT together
- How a BFI can best expand business together with AML/CFT compliance
- How AML/CFT help expanding business
- How minimum resources and staffs can work on AML/CFT
- How a BFI can best implement newly amended but rigorous laws with limited resources
- Linkage between Banking and AML/CFT measures and their inseparability
- Instruments that help assessing risk and focusing only on the high risk areas
- The easy modality & benefits of applying AML/CFT (including KYC/CDD) with institutions
- The way of dealing with customers in relation to AML/CFT
- The matters of training to junior staffs to face with the customers
- Measures applicable to face problems on AML/CFT in the course of day-to-day business
- The matters and mechanisms of reporting to high level officials
- The supervisory modality and measures to compliance
- Sanctions and their vicious impacts on individual, institution and the national for non-compliance
- How BFI can save itself form Regulatory Sanction of 5 Karor & Criminal charge in AML/CFT
- How AML/CFT policies and procedures are developed for the institution
- Concept, values, benefits of AML/CFT measures in Nepalese context & their benefits to institutions

### Program Details

**Date & Time:** 17th June, 2023 (9.00am-4.15pm)

**Duration:** 4 sessions (6 hours)

**Venue:** Dhulikhel Mountain Resort, Dhulikhel

## Course Outline

S.No.	Title	Trainer's Profile
1.	<b>AML/CFT: General Overview and Nepalese Regime</b>	<b>Executive from Nepal Rastra Bank</b>
2.	<b>Compliance of</b> <ul style="list-style-type: none"> <li>• <i>CDD/KYC</i></li> <li>• <i>Standard/Enhanced/Simplified</i></li> <li>• <i>Identification and verification of</i></li> <li>• <i>Including PEP /High Risk Customers,</i></li> <li>• <i>Beneficial Owner</i></li> <li>• <i>Acceptance/Denial</i></li> </ul> <b>SR III Compliance</b>	
3.	<b>Risk Based Approach</b> <ul style="list-style-type: none"> <li>• <i>Customer</i></li> <li>• <i>Product</i></li> <li>• <i>Services</i></li> <li>• <i>Geography</i></li> <li>• <i>Delivery channel</i></li> <li>• <i>Exercise</i></li> </ul> <b>Low cost and effectiveness</b> <b>Minimization of Adverse Impact on BFI</b>	
4.	<b>Reading between lines and Reporting</b> <ul style="list-style-type: none"> <li>• <i>Monitoring</i></li> <li>• <i>STR Detection</i></li> <li>• <i>KYC</i></li> <li>• <i>Operation and Activities</i></li> <li>• <i>Outside Information</i></li> <li>• <i>Matching and mining data</i></li> <li>• <i>Statements</i></li> </ul> <b>Tipping off and Confidentiality</b>	
5.	<b>Compliance Mechanisms, Supervisory Expectations and Sanctions</b> <ul style="list-style-type: none"> <li>• <i>Internal Compliance Instruments</i></li> <li>• <i>Policy, Procedures and Internal Controls</i></li> <li>• <i>Severe Risk factors</i></li> <li>• <i>Sanctions (Financial, Institutional or individual)</i></li> <li>• <i>Impact of Sanctions</i></li> </ul>	

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