



PRESENTS
AML/CFT-KYC



Program Takeaways

Theoretical, Legal and Practical for:

- Understanding how a BFI can take business and AML/CFT together
- Understanding how a BFI can best expand business together with AML/CFT compliance
- Understanding how AML/CFT help expanding business
- Understanding how minimum resources and staffs can work on AML/CFT
- Understanding how a BFI can best implement the newly amended but rigorous laws with limited resources
- Understanding Linkage between Banking and AML/CFT measures and their inseparability
- Understanding instruments that help assessing risk and focusing only on the high risk areas
- Understanding the easy modality and benefits of applying AML/CFT (including KYC/CDD) with the institutions
- Understanding the way of dealing with customers in relation to AML/CFT
- Understanding the matters of training to junior staffs to face with the customers
- Understand the measures applicable to face problems regarding AML/CFT in the course of day to day business
- Understanding the matters and mechanisms of reporting to high level officials
- Understanding the supervisory modality and measures to compliance
- Understanding sanctions and their vicious impacts on individual, institution and the national for non-compliance
- Understanding how a BFI can save itself form Regulatory Sanction of 5 Karor and Criminal charge in AML/CFT
- Understanding how AML/CFT policies and procedures are developed for the institution

Program Details

Date & Time: 28th January, 2023 (8.00am-3.15pm)

Duration: 4 sessions (6 hours)

Venue: Hotel Royal Century, Narayanghat

Target Audience: Junior to Mid Level Staffs

Course Outline

AML/CFT: General Overview and Nepalese Regime	Reading between lines and Reporting
Compliance of CDD/KYC <i>Standard/Enhanced/Simplified</i> <i>Identification and verification of</i> <i>Including PEP /High Risk Customers,</i> <i>Beneficial Owner</i> <i>Acceptance/Denial</i> SR III Compliance	<i>Monitoring</i> <i>STR Detection</i> <i>KYC</i> <i>Operation and Activities</i> <i>Outside Information</i> <i>Matching and mining data</i> <i>Statements</i> Tipping off and Confidentiality
Risk Based Approach <i>Customer</i> <i>Product</i> <i>Services</i> <i>Geography</i> <i>Delivery channel</i> <i>Exercise</i> Low cost and effectiveness Minimization of Adverse Impact on BFI	Compliance Mechanisms, Supervisory Expectations and Sanctions <i>Internal Compliance Instruments</i> <i>Policy, Procedures and Internal Controls</i> <i>Severe Risk factors</i> <i>Sanctions (Financial, Institutional or individual)</i> <i>Impact of Sanctions</i>

Trainer:

Mr. Shyam Krishna Dahal

Former Director, FIU Unit

Nepal Rastra Bank Ltd.

Program Detail

Duration : 6hrs (4 sessions)

Date & Time : 28th January 2023(8:00am-3:15pm)

Fee (Nrs.) : 10,000+ VAT

Venue : Hotel Royal Century Narayanghat

NATIONAL BANKING INSTITUTE LTD.

Central Plaza, 6th Floor, Narayanchour, Naxal, Kathmandu, Nepal.

T : 977-1-4415903/905, 4436001 • F : 977-1-4441351

info@nbi.com.np • www.nbi.com.np