



PRESENTS

CONFERENCE ON ANTI-MONEY LAUNDERING

Anti-Money Laundering has become one of the most challenging jobs to the Banks and Financial Institutions due to changes in the regulations from time to time with stringent provisions, increasing various money laundering related risk events including electronic transactions frauds, usage of various means by the money launderers in cleaning the illegally earned money, increasing dependency on technology, etc.

NBI believe that the strategies for Anti-Money Laundering can be formulated in a concentrated way by storming the brains together with the domestic / international experts and regulators and taking their views / experience into account.

This Conference expects to enable the participants to assess the current gap, formulate the strategies and execute it more effectively in order to save the organizations and staff from Money Laundering Risk.

Program Highlights

- KYC Solutions, AML Screening Trends & Best Practices
- Risk Based Approach to AML and Supervisory Sanctions
- The Role of Technology in Combating Money Laundering
- Trade Based Money Laundering and Beneficial Ownership Considerations
- ML/TF Case Studies
- AML/ CFT - Legal & Institutional Development – Role and Responsibilities of BFI.
- Imperatives for a strong AML Regime and Financial Inclusion Considerations.
- Launch of AML E-learning Course



The program shall have a panel discussion with the international/local industry experts and regulator(s). The session will be moderated by Mr. Maha Prasad Adhikari, Former DGM, NRB. The panel will consists of industry experts from Nepal & India, senior officials(s) from NRB among others.

Speakers

Mr. Maha Prasad Adhikari
Former Deputy Governor, NRB

Mr. Pushendra Sharma
Head of Compliance & MLRO, RBL Bank, India.

Mr. Rahul Oberai
Head of South Asia, Accuity an institute supporting banks from last 175 years to deliver optimal payment efficiency, complaint transactions, bank counterparty insight and AML screening.

Mr. Sangeet Shukla
Former DMD State Bank of India (SBI) and Senior Advisor Indian Banks' Association
M.Sc. (Physics-Electronics), ICWA (inter), CISA.

Mr. Shirish Pathak
CEO, Fintelekt an institute focusing on training, education and certification for the financial sector, through e-learning, workshops and conferences.

Mr. Abhay Sinha
Region Head, South Asia and Asia Pacific, 3i Infotech.
(3i Infotech, Incorporated in 1993 and earlier known as ICICI Infotech, is a public global Information Technology company committed to Empowering Business Transformation.)

Facilitator Profile



Mr. Pushendra Sharma

Head of Compliance and MLRO, RBL Bank

Mr. Sharma is a compliance professional with 17 years of compliance experience and is currently working in India's fastest growing mid-size bank, where his responsibilities include the following:

- Heading a 15 member team to take care of Compliance advisory for Wholesale, Retail, Agriculture, Financial Inclusion, Treasury & Payment products across 190 branches.
- Reporting to CEO / Independent Board of Directors.
- Managing relationships with RBI/ SEBI/ FIU/ IRDA/ Exchanges & other regulatory bodies.
- AML Unit / KYC queries / FATCA / Sanctions.
- Risk Based supervision / Branch Compliance

Before RBL Bank, he has worked at various organizations such as Reserve Bank of India (RBI), Bank of America Merrill Lynch, ANZ and Barclays. Mr Sharma has the following certifications and qualifications:

- Certified Anti-Money Laundering Specialist (CAMS) from Association of Certified Anti-Money Laundering Specialists (ACAMS)
- Financial Risk Management (FRM) from Global Association of Risk Professionals, USA
- Masters in Financial Management (MFM) from Jamnalal Bajaj Institute of Management Sciences, Mumbai
- Bachelor of Technology (B.Tech) from Regional Engineering College, Jalandhar
- CAIIB from Indian Institute of Bankers (IIBF).

His other achievements and expertise includes:

- All India First Rank in 2002 Reserve Bank of India (RBI) Officers Batch.
- Expertise of dealing with various Regulatory Institutions like RBI / SEBI/ IRDA / FIU, Exchanges, Depository etc.
- Exposure to Bank, Payment Bank, NBFC, Wealth Management, Primary Dealership, Merchant Banking, Depository, Insurance activities and Cross Functional Exposure to Investment Banking, Institutional equity Broking and FII business.



Mr. Abhay Sinha

Region Head, South Asia and Asia Pacific, 3i Infotech

Mr. Abhay Sinha joined in September 2012. He holds an MBA (Finance) from Nanyang Technological University, Singapore and has completed the Executive Program on Strategic Thinking from ISB Hyderabad.

A seasoned professional with over 23 years of experience, he was associated with Dion Global Solutions as Chief Operating Officer. Prior to that he had a stint with 3i Infotech where he played a key role in building a strong sales & delivery team, growth in revenue & profitability and getting major wins in the BFSI arena. Abhay has also been associated with LIC, SBI, TCS and E&Y in India and overseas market.

Abhay is heading Sales and Business operation for South Asia Geography (excluding ICICI Group relationships). In addition to this, Abhay also oversees the Asia Pacific Region.

Abhay has been part of "Project Lifeline" and a key member and played an instrumental role in streamlining CDR lenders relationship culminating into CDR-EG approval for FCNR (B) Loan conversion, and Additional Funding.

Facilitator Profile



Mr. Sangeet Shukla

**Former DMD State Bank of India and Senior Advisor Indian Banks' Association
M.Sc. (Physics-Electronics), ICWA (inter), CISA**

A career banker with 36+ years of varied experience. Joined State Bank of India as a Probationary Officer in 1974 and retired as its Deputy Managing Director in 2011.

As Executive Vice Chairman & Chief Executive Officer of SBI Global Factors Ltd., a subsidiary of SBI, led merger of Bank's two factoring subsidiaries-Global Trade Finance and SBI Factors, consolidation and business re-design.

As Chairman of Factoring Association of India, co-ordinated with Department of Financial Services, GOI, to finalise the long pending legislation on factoring. Submissions to Standing Committee on Finance on the bill were acknowledged in its report to the Lok Sabha.

Headed SBI's bank-wide Internal Audit function, both for domestic and overseas network. Responsible for successful implementation of Risk Based Internal Audit in the bank.

Led various business units at various levels from a cluster of 50+ branches as a Regional Manager to heading Bank's in Kolkata and Mumbai Zones and as head of Bank's network of Maharashtra and Goa branches.

As Head of Bank's Personal Banking business, developed new banking products, such as the Reverse Mortgage and Put/Call Option Term Deposit. Launched Bank's internet security trading platform - eZ-Trade@sbi.

Worked in Bank's London office as Head of investments and loan syndication and developed a strong expertise in Asset Liability Management and funding of projects with OECD support.

Two stints on secondment, handpicked by invitation, in companies owned by the Government of Madhya Pradesh. In the first stint as General Manager (Projects) of M.P. State Industries Development Corporation in, prepared a blue print of state's industrialization and ran State's rapid industrialisation campaign. Then as General Manager (Projects & Finance) of M.P. State Electronics Development Corporation, managed project funding and had the rare exposure to a banker to be on the project management team leading implementation of country's first optical fibre, cable and system equipment facility. Thereafter, controlled its finance and corporate management functions.

Headed the credit process design group in the McKinsey In-house team responsible for redesigning of State Bank in the '90s.



Mr. Rahul Oberai

Head of South Asia, Accuity

Mr. Oberai has over 10 years experience in the risk and compliance segment across Asia and UK. Based in Accuity's Mumbai office, he is the head of South Asia, where he is responsible for strategy and direction. In addition to nurturing client relations and managing the office, he manages engagements with the BFSI sector.

Mr. Oberai holds an M.B.A. in Finance from the CUHK, Hong Kong and is part of the BGS Honour Society.

Target Audience:

- ◆ Senior Executives
- ◆ Department Heads
- ◆ Operations Managers / Officers
- ◆ Compliance Manager/ Officers
- ◆ Internal Auditors
- ◆ Treasury Managers/ Officers
- ◆ Remittance Managers/ Officers
- ◆ KYC Officers
- ◆ Branch Managers

Program Details

Date : 27th January 2016
Time : 9:00am to 5:00pm
Venue : Hotel Radisson, Kathmandu.

(No additional discount will be applicable)

Terms and Conditions

Fee/ Charges :

1. Cancellation/ withdrawal of participants must be done 24 hours prior to start of the program. If participant does not attend the program without cancellation, full charge shall be levied to the client.

NATIONAL BANKING INSTITUTE LTD.

Central Plaza, 6th Floor, Narayanchour, Naxal, Kathmandu, Nepal.
T : 977-1-4415903/905, 4436001 • F: 977-1-4441351
info@nbi.com.np • www.nbi.com.np