



PRESENTS
AML/CFT-KYC



Program Takeaways

Theoretical, Legal and Practical for:

- Understanding how a BFI can take business and AML/CFT together
- Understanding how a BFI can best expand business together with AML/CFT compliance
- Understanding how AML/CFT help expanding business
- Understanding how minimum resources and staffs can work on AML/CFT
- Understanding how a BFI can best implement the newly amended but rigorous laws with limited resources
- Understanding Linkage between Banking and AML/CFT measures and their inseparability
- Understanding instruments that help assessing risk and focusing only on the high risk areas
- Understanding the easy modality and benefits of applying AML/CFT (including KYC/CDD) with the institutions
- Understanding the way of dealing with customers in relation to AML/CFT
- Understanding the matters of training to junior staffs to face with the customers
- Understand the measures applicable to face problems regarding AML/CFT in the course of day to day business
- Understanding the matters and mechanisms of reporting to high level officials
- Understanding the supervisory modality and measures to compliance
- Understanding sanctions and their vicious impacts on individual, institution and the national for non-compliance
- Understanding how a BFI can save itself form Regulatory Sanction of 5 Karor and Criminal charge in AML/CFT
- Understanding how AML/CFT policies and procedures are developed for the institution

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Course Outline

AML/CFT: General Overview and Nepalese Regime

Compliance of CDD/KYC

*Standard/Enhanced/Simplified
Identification and verification of
Including PEP /High Risk Customers,
Beneficial Owner
Acceptance/Denial*

SR III Compliance

Risk Based Approach

*Customer
Product
Services
Geography
Delivery channel
Exercise*

Low cost and effectiveness

Minimization of Adverse Impact on BFI

Reading between lines and Reporting

*Monitoring
STR Detection
KYC
Operation and Activities
Outside Information
Matching and mining data
Statements*

Tipping off and Confidentiality

Compliance Mechanisms, Supervisory Expectations and Sanctions

*Internal Compliance Instruments
Policy, Procedures and Internal Controls
Severe Risk factors
Sanctions (Financial, Institutional or individual)
Impact of Sanctions*

Trainer:

Ramu Poudel

(Director, FIU-Nepal, (MPhil in Economics,
MBA in Banking & Finance)

Experienced more than 17 years in Nepalese economic and banking system while working in the NRB. Worked in various Department i.e. Research, Regulation, Payment System, Banking Operation in the past and currently as a Head of FIU-Nepal, NRB. Worked few years in the IMF office as an Senior Economist in the past. He is experienced in the area of AML/CFT and KYC issues both global and domestic, the risk, and Nepalese banking perspective. Likewise, risk management, payment system, financial stability, NRB reporting, financial soundness indicators and macroeconomic perspective and banking business, etc. are the some of the important areas of his expertise

Program Detail

Duration : 1 Day

Date & Time : 3rd August, 2019
(7:00am to 2:15pm)

Venue : Hotel Pujan, Birgunj

Terms and Conditions

Fee/ Charges :

1. Cancellation/ withdrawal of participants must be done 24 hours prior to start of the program. If participant does not attend the program without cancellation, full charge shall be levied to the client.

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