



PRESENTS

AML/CFT-KYC



Program Takeaways

Theoretical, Legal and Practical for:

- Understanding how a BFI can take business and AML/CFT together
- Understanding how a BFI can best expand business together with AML/CFT compliance
- Understanding how AML/CFT help expanding business
- Understanding how minimum resources and staffs can work on AML/CFT
- Understanding how a BFI can best implement the newly amended but rigorous laws with limited resources
- Understanding Linkage between Banking and AML/CFT measures and their inseparability
- Understanding instruments that help assessing risk and focusing only on the high risk areas
- Understanding the easy modality and benefits of applying AML/CFT (including KYC/CDD) with the institutions
- Understanding the way of dealing with customers in relation to AML/CFT
- Understanding the matters of training to junior staffs to face with the customers
- Understand the measures applicable to face problems regarding AML/CFT in the course of day to day business
- Understanding the matters and mechanisms of reporting to high level officials
- Understanding the supervisory modality and measures to compliance
- Understanding sanctions and their vicious impacts on individual, institution and the national for non-compliance
- Understanding how a BFI can save itself form Regulatory Sanction of 5 Karor and Criminal charge in AML/CFT
- Understanding how AML/CFT policies and procedures are developed for the institution

NATIONAL BANKING INSTITUTE LTD.

Central Plaza, 6th Floor, Narayanchour, Naxal, Kathmandu, Nepal.

T : 977-1-4415903/905, 4436001 • F : 977-1-4441351

info@nbi.com.np • www.nbi.com.np

Course Outline

AML/CFT: General Overview and Nepalese Regime

Compliance of CDD/KYC

*Standard/Enhanced/Simplified
Identification and verification of
Including PEP /High Risk Customers,
Beneficial Owner
Acceptance/Denial*

SR III Compliance

Risk Based Approach

*Customer
Product
Services
Geography
Delivery channel
Exercise*

Low cost and effectiveness

Minimization of Adverse Impact on BFI

Reading between lines and Reporting

*Monitoring
STR Detection
KYC
Operation and Activities
Outside Information
Matching and mining data
Statements*

Tipping off and Confidentiality

Compliance Mechanisms, Supervisory Expectations and Sanctions

*Internal Compliance Instruments
Policy, Procedures and Internal Controls
Severe Risk factors
Sanctions (Financial, Institutional or individual)
Impact of Sanctions*

Trainer:

Dirgha Rawal

Deputy Director
Nepal Rastra Bank



Program Detail

Duration : 1 Day

Date & Time : 30th January 2018
(8:00am to 3:30pm)

Venue : Siddhartha Hotel, Tikapur

Terms and Conditions

Fee/ Charges :

1. Cancellation/ withdrawal of participants must be done 24 hours prior to start of the program. If participant does not attend the program without cancellation, full charge shall be levied to the client.

NATIONAL BANKING INSTITUTE LTD.

Central Plaza, 6th Floor, Narayanchour, Naxal, Kathmandu, Nepal.

T : 977-1-4415903/905, 4436001 • F : 977-1-4441351

info@nbi.com.np • www.nbi.com.np