



PRESENTS

# AML/CFT-KYC



## Program Takeaways

Theoretical, Legal and Practical for:

- Understanding how a BFI can take business and AML/CFT together
- Understanding how a BFI can best expand business together with AML/CFT compliance
- Understanding how AML/CFT help expanding business
- Understanding how minimum resources and staffs can work on AML/CFT
- Understanding how a BFI can best implement the newly amended but rigorous laws with limited resources
- Understanding Linkage between Banking and AML/CFT measures and their inseparability
- Understanding instruments that help assessing risk and focusing only on the high risk areas
- Understanding the easy modality and benefits of applying AML/CFT (including KYC/CDD) with the institutions
- Understanding the way of dealing with customers in relation to AML/CFT
- Understanding the matters of training to junior staffs to face with the customers
- Understand the measures applicable to face problems regarding AML/CFT in the course of day to day business
- Understanding the matters and mechanisms of reporting to high level officials
- Understanding the supervisory modality and measures to compliance
- Understanding sanctions and their vicious impacts on individual, institution and the national for non-compliance
- Understanding how a BFI can save itself from Regulatory Sanction of 5 Karor and Criminal charge in AML/CFT
- Understanding how AML/CFT policies and procedures are developed for the institution

NATIONAL BANKING INSTITUTE LTD.

Central Plaza, 6<sup>th</sup> Floor, Narayanchour, Naxal, Kathmandu, Nepal.

T : 977-1-4415903/905, 4436001 • F : 977-1-4441351

info@nbi.com.np • www.nbi.com.np

## Course Outline

### AML/CFT: General Overview and Nepalese Regime

#### Compliance of CDD/KYC

*Standard/Enhanced/Simplified  
Identification and verification of  
Including PEP /High Risk Customers,  
Beneficial Owner  
Acceptance/Denial  
SR III Compliance*

#### Risk Based Approach

*Customer  
Product  
Services  
Geography  
Delivery channel  
Exercise  
Low cost and effectiveness  
Minimization of Adverse Impact on BFI*

#### Reading between lines and Reporting

*Monitoring  
STR Detection  
KYC  
Operation and Activities  
Outside Information  
Matching and mining data  
Statements  
Tipping off and Confidentiality*

#### Compliance Mechanisms, Supervisory Expectations and Sanctions

*Internal Compliance Instruments  
Policy, Procedures and Internal Controls  
Severe Risk factors  
Sanctions (Financial, Institutional or individual)  
Impact of Sanctions*

Trainer:

Bashu Dev Bhattarai  
Deputy Director  
Nepal Rastra Bank



#### Program Detail

Duration : 1 Day  
Date & Time : 30th December 2017  
(9:00am to 4:30pm)  
Venue : Hotel Manaki, Janakpur

### Terms and Conditions

Fee/ Charges :

1. Cancellation/ withdrawal of participants must be done 24 hours prior to start of the program. If participant does not attend the program without cancellation, full charge shall be levied to the client.

NATIONAL BANKING INSTITUTE LTD.

Central Plaza, 6<sup>th</sup> Floor, Narayanchour, Naxal, Kathmandu, Nepal.

T : 977-1-4415903/905, 4436001 • F : 977-1-4441351

info@nbi.com.np • www.nbi.com.np