



PRESENTS

AML/CFT-KYC



Program Takeaways

Theoretical, Legal and Practical for:

- Understanding how a BFI can take business and AML/CFT together
- Understanding how a BFI can best expand business together with AML/CFT compliance
- Understanding how AML/CFT help expanding business
- Understanding how minimum resources and staffs can work on AML/CFT
- Understanding how a BFI can best implement the newly amended but rigorous laws with limited resources
- Understanding Linkage between Banking and AML/CFT measures and their inseparability
- Understanding instruments that help assessing risk and focusing only on the high risk areas
- Understanding the easy modality and benefits of applying AML/CFT (including KYC/CDD) with the institutions
- Understanding the way of dealing with customers in relation to AML/CFT
- Understanding the matters of training to junior staffs to face with the customers
- Understand the measures applicable to face problems regarding AML/CFT in the course of day to day business
- Understanding the matters and mechanisms of reporting to high level officials
- Understanding the supervisory modality and measures to compliance
- Understanding sanctions and their vicious impacts on individual, institution and the national for non-compliance
- Understanding how a BFI can save itself form Regulatory Sanction of 5 Karor and Criminal charge in AML/CFT
- Understanding how AML/CFT policies and procedures are developed for the institution

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Course Outline

AML/CFT: General Overview and Nepalese Regime

Compliance of

CDD/KYC

Standard/Enhanced/Simplified

Identification and verification of

Including PEP /High Risk Customers,

Beneficial Owner

Acceptance/Denial

SR III Compliance

Risk Based Approach

Customer

Product

Services

Geography

Delivery channel

Exercise

Low cost and effectiveness

Minimization of Adverse Impact on BFI

Reading between lines and Reporting

Monitoring

STR Detection

KYC

Operation and Activities

Outside Information

Matching and mining data

Statements

Tipping off and Confidentiality

Compliance Mechanisms, Supervisory Expectations and Sanctions

Internal Compliance Instruments

Policy, Procedures and Internal Controls

Severe Risk factors

Sanctions (Financial, Institutional or individual)

Impact of Sanctions

Trainer:

Shyam Krishna Dahal

Deputy Director

FIU

Nepal Rastra Bank



Program Detail

Duration : 1 Day

Date & Time : 10th September 2016
(7:00am to 1:30pm)

Venue : Hotel Avocado, Hetauda

Terms and Conditions

Fee/ Charges :

1. Cancellation/ withdrawal of participants must be done 24 hours prior to start of the program. If participant does not attend the program without cancellation, full charge shall be levied to the client.

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