



PRESENTS

Anti-Money Laundering 2nd Annual Summit (Nepal) 2017

Fintelekt[®]

- Conference Partner

Anti-Money Laundering has become one of the most challenging jobs to the Banks and Financial Institutions due to changes in the regulations from time to time with stringent provisions, increasing various money laundering related risk events including electronic transactions frauds, usage of various means by the money launderers in cleaning the illegally earned money, increasing dependency on technology, etc. NBI believe that the strategies for Anti-Money Laundering can be formulated in a concentrated way by storming the brains together with the domestic / international experts and regulators and taking their views / experience into account.

This Conference expects to enable the participants to assess the current gap, formulate the strategies and execute it more effectively in order to save the organizations and staff from Money Laundering Risk.

Key Speakers

Mr. Hari Kumar Nepal
Deputy Director,
Financial Intelligence Unit
Nepal Rastra Bank

Mr. Sean Norris
Managing Director—APAC

Mr. Debmalya Maitra
Advisory Board Member
- Risk, Controls, Governance and Compliance
Fintelekt

Mr. Shirish Pathak
CEO, Fintelekt

Mr. Jairam Manglani
Country Head - Financial Crime Compliance &
Principal Officer, Standard Chartered Bank, India



Program Details:

Date: 27th January, 2017

Time: 8:30am to 5:00pm

Venue: Hotel Radisson, Kathmandu.

Program Details

Panel Discussion:

Critical Success Factors for Strengthening the AML Regime in Nepal

Key issues to be discussed are:

- What are compliance officers doing to overcome challenges such as automation, screening and transaction monitoring?
- How can technology help in effectively overcoming some of the current challenges faced by banks as regards AML risk management and regulatory reporting?
- How can banks raise awareness levels regarding AML and build a culture of AML risk management across all levels within the organization?
- How can compliance heads balance various business priorities within the organization and ensure adequate resources and continued focus on the AML function?
- **Panelists:**
 - Mr. Narayan Prasad Paudel, Executive Director, Nepal Rastra Bank
 - DIGP Nawa Raj Silwal, Director, Central Investigation Bureau, Nepal Police.
 - Mr. Anukool Bhatnagar, Managing Director (CEO), Nepal SBI Bank Ltd.
 - Mr. Jiwan Kumar Sitaula, Director General, Money Laundering Department
 - Mr. Rishikesh Bhatta, Director, Financial Information Unit, Nepal Rastra Bank
 - Mr. Hari Nepal, Dy Director, Financial Information Unit, Nepal Rastra Bank.

Program Highlights

- AML Process Maturity Survey Results
- KYC Screening- Changing Paradigms & The Evolution of technology
- Changes in AML Policy
- The Role of Technology in Combating Money Laundering
- AML Risk Assessment - Challenges and Best Practices
- Trade Based Money Laundering
- Panel Discussion: Critical Success Factors for Strengthening the AML Regime in Nepal.

Target Audience

- ⇒ Senior Executives
- ⇒ Department Heads
- ⇒ Operations Managers / Officers
- ⇒ Compliance Manager/ Officers
- ⇒ Internal Auditors
- ⇒ Treasury Managers/ Officers
- ⇒ Remittance Managers/ Officers
- ⇒ KYC Officers
- ⇒ Branch Managers

Central Plaza, 6th Floor, Narayanchour, Naxal, Kathmandu.

T: +977 1 4415903/5, 4436001

F: +977 1 4441351

E: programs@nbi.com.np, W: www.nbi.com.np

Facilitator Profile

Jairam Manglani

**Country Head - Financial Crime Compliance & Principal Officer
Standard Chartered Bank, India**

Jairam Manglani currently heads the Financial Crime Compliance function for Standard Chartered Bank in India. Jairam has previously worked with Bank of America, NA, India as the MLRO (Money Laundering Reporting Officer). In his previous assignments he was the deputy MLRO of Citibank NA, he has worked with ICICI Bank as Chief Manager, AML and also worked in Integra Screen (World-Check).

He specializes in implementation of the KYC/AML programme, transaction monitoring, sanctions screening, training and managing regulatory examinations involving KYC/AML.

He holds an accreditation of ACAMS, Advanced Diploma of Business Administration in Finance and holds a graduate degree in Commerce from R.A. Podar College of Commerce and Economics, Mumbai University.

Debmalya Maitra

**Advisory Board Member - Risk, Controls, Governance and Compliance
Fintelekt Advisory Services Pvt Ltd**

Debmalya Maitra is a seasoned professional with more than 29 years of experience in the Banking and Financial Services Industry with more than a decade each in new age private sector bank and life insurance companies and seven years in a leading housing finance company.

Until recently, he was Chief – Risk, Audit & Compliance Aegon Life Insurance Co. Ltd, and prior to that he was Head – Audit & Risk Management HDFC Standard Life Insurance Co. Ltd. Before this, he was Head – Audit & Compliance at Centurion Bank of Punjab Ltd.

Debmalya is a Chartered Accountant (ICAI – 1983-1987) and holds a Bachelors of Commerce with Honors in Accountancy (1982-1985).

He is experienced in setting up and processes in a large number of start ups in different areas as part of the core management team and has hands-on experience in setting up and integrating the following functions:

- ◆ Operational Risk Management including Fraud Risk Control Units
- ◆ Regulatory Compliance framework including international compliance requirements like AML, FATCA, CRS etc.
- ◆ Track regulatory changes and reengineer processes based on the same
- ◆ Setting up, reviewing and reporting the Customer Grievance Redressal system
- ◆ Setting up the internal audit function and planning and executing the audit calendar

Debmalya was a member of the Insurance delegation in the FATF evaluation relating to preparedness regarding anti money laundering regulations (2010) in India. He is also on the Member Governors of Institute of Internal Auditors, Mumbai Chapter since 2015.

Facilitator Profile

Sean Norris
Managing Director
APAC Accuity

Sean comes with over a decade of experience in solutions and data for financial services with a keen focus on the Governance, Risk & Compliance and Trade Finance segment. He has been involved in implementation and process improvement projects involving watch list compliance with organizations across EMEA and APAC.

As the Managing Director of Asia Pacific at Accuity, Sean is responsible for leading the overall business, strategy and direction, while overseeing teams located in Singapore, Hong Kong, Japan, China, India and Australia.

Shirish Pathak
Managing Director
Fintelekt Advisory Services Pvt. Ltd.

Shirish holds a Bachelor's Degree in Commerce from the University of Pune, India. He worked at Gartner, the world's leading technology advisory company, for a period of three years as Regional Manager, followed by two years at Indus Software, where he was responsible for UK and Europe business. He then worked for a year at Computerwire (part of Datamonitor) as Country Manager India.

In 2009, he formed Fintelekt Advisory Services PvtLtd, with a strong focus on research, e-learning, training and conferences in the areas of insurance, banking, risk management, regulatory compliance, anti-money laundering, and technology. The Fintelekt eLearning Course and Certification on Anti-Money Laundering (AML), Know Your Customer (KYC) and Countering Financing of Terrorist (CFT) for Front-line and Customer-facing staff working in banks, financial institutions and other reporting entities is the only professional certification of its kind available in India, Nepal and Sri Lanka. The Indian Insurance Awards instituted by Fintelekt measure and acknowledge the achievements of the insurance industry in India and have become the undisputed and credible mechanism to rank industry players in a comprehensive and fair manner.

Over the last few years, Shirish has enabled Fintelekt to carve out a niche for itself within the industry in India, Nepal and Sri Lanka, and continues to actively invest time, energy and capital in strengthening Fintelekt's offerings. He considers himself fortunate to enjoy the goodwill and support of senior industry practitioners, regulators and thought leaders.