



PRESENTS
**OPERATIONAL RISK
MANAGEMENT**



Background

As the size and complexity of financial institutions have increased, so too have the challenges of understanding and reducing operational risks down to truly manageable levels. Increased regulatory concern and scrutiny have also increased the cost of operational risk events in the shape of outright financial loss, regulatory fines and declining customer confidence.

Operational Risk Management (ORM) is an effective tool for not only maintaining but increasing, bank profits, shareholder value, public perceptions and goodwill. Executed properly, improvements in ORM can lead to substantial financial, reputational and regulatory benefits – all this adds to increased profitability, greater financial stability and improved customer satisfaction.

Level (Low/Mid/Senior/High)	Mid
Duration	One day.
Target Audience	Operation In-charge at Branch, Branch Managers, Personnel working on Operations Department in Corporate Office, and Up to Officers working in Risk Management Department in Corporate Office of Commercial Bank
Program delivery	Combination of prepared lecture, examples, discussion/interaction, and case-studies
Date ,Time & Venue	27th July, 2019 (7:00am to 2:15pm), Pyuthan (to be finalized later)

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Program Details

Program Takeaways	<p>After attending the session, the participant will:</p> <ul style="list-style-type: none"> • understand Operational risk in all its facets; • understand what the Basel Accords say about operational risk, its management/mitigation, and computation of operational risk capital; • have a clear understanding of operational risk techniques for assessing, monitoring, and mitigating operational risk; • be able to link ORM theory with practice; and • be able to design a road-map on how to implement an ORM structure in practice in a banking organization.
Contents	<p>Various risks relevant in BFIs</p> <p>Operational risk definition , characteristics, and presence in all functions</p> <p>Principles for the Sound Management of Operational Risk</p> <p>Risk Management Environment: Operational Risk Management Process</p> <p>Operational Risk Capital Calculations as per BASEL – II</p> <p>Three Line of Defense to manage Operational Risk</p> <p>Operations Risks Management in different functions in a Branch</p> <p>People risk management</p> <p>Case Studies – Domestic and International</p>
Facilitator/s	<p>Mr. Sudeep Khanal</p> <p>Sudeep Khanal recently retired on his own from NIC Asia Bank as Assistant CEO.</p> <p>Sudeep Khanal is a senior Chartered Accountant (ICAI), and Certified Information System Auditor (CISA, USA) by profession, and also holds the Masters Degree in Business Administration (E-MBA) from KU. He has post qualification experience of 15 years comprising of one year in heading Internal Audit Function of Soaltee Group, 1 year in Rastriya Baniyya Bank (during the financial reform period of the Bank) as Deputy Head of Internal Audit Department, 1 year in Rastriya Baniyya Bank (during the financial reform period of the Bank) as Compliance Officer and Head of Internal Audit Department, 10 years in Nepal SBI Bank as Head of Internal Audit and Compliance Department, Branch Manager and Regional Manager, and about two years in NIC Asia Bank as Chief Corporate Banking Officer, Chief Support Officer and Assistant CEO-Support Service Ecosystem. Recently, he has restarted his Chartered Accountancy practice. Thus, he has 15 years of experience in management of risks and operations of Banking industry. Risk Focused Internal Audit was introduced in Nepal SBI Bank under his leadership.</p> 

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