



PRESENTS

OVERVIEW OF AML/CFT



Level (Low/Mid/Senior/High)	Mid/Senior/High
Duration	5 session of 1.5 hrs each
Target Audience	Reporting Entities as per Asset (Money) Laundering Prevention Act, 2008
Contents	AML/CFT General Overview
	Customer Due Diligence (CDD)
	SAR/STR/TTR Reporting
	AML/CFT National Strategy and Risk Assessment
	Wrap Up Session
Delivery	On-line Via Microsoft Team
Date/ Time	20th (3:00pm to 6:15pm)and 21st November 2020 (9:00am to 2:15pm)
Fee (Nrs.)	4,500 + VAT (10% discount for individual)
Facilitator/s	Mr. Dirgha Rawal, Director, Financial Information Unit, Nepal Rastra Bank. Ms. Priyanka Basnet, Deputy Director, Financial Information Unit, Nepal Rastra Bank. Mr. Medani Pokhrel, Deputy Director, Financial Information Unit, Nepal Rastra Bank. Mr. Sworup Shrestha, Deputy Director, Financial Information Unit, Nepal Rastra Bank.

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Course Outline

<p>Session 1 (3:00pm to 4:30pm)</p>	<p><u>Opening Session: AML/CFT General Overview</u> Concept of AML/CFT Stages of Money Laundering Terrorist Financing: Sources of funds & Methods to transfer funds Nepalese AML-CFT Regime Legislations and Regulations Role of Different Stakeholders Sanctions (Financial, Institutional or individual)</p>
<p>Session 2 (4:45pm to 6:15pm)</p>	<p><u>Customer Due Diligence (CDD)</u> Need for KYC and Update Prevailing Laws and Regulations (ALPA 2008), Unified Directives 19) Customer Acceptance Policy Customer Profiling Opening, Closing and Monitoring of Accounts Risk-based approach to CDD Beneficial Ownership Sanction and PEP Screening Three lines of Defense Emerging Issues</p>
<p>Session 3 (9:00am to 10:30am)</p>	<p><u>SAR/STR/TTR Reporting</u> Online KYC and Centralised KYC Artificial Intelligence and Bio-metric Online Reporting (goAML) Transaction Monitoring Predicate Offences STR Guidelines SAR/STR/TTR Reporting Typology of Report ML-TF Red Flags Other Reporting Requirement Emerging Issues</p>
<p>Session 4 (10:45am to 12:15pm)</p>	<p><u>AML/CFT National Strategy and Risk Assessment</u> Overview of ALPA 2008 AML-CFT National Strategy and Action Plan (2076-81) Risk Assessment: Overview National Risk Assessment Institutional and Sectoral Risk Assessment Report (AML-CFT) (Customer, Product, Services, Geography, Delivery channel) Covid 19 and AML CFT related Risks</p>
<p>Session 5 (12:45pm to 2:15pm)</p>	<p><u>Wrap Up Session</u> Recap of Previous sessions and Discussion FATF Recommendations and Methodology Mutual Evaluation Emerging Issues Prospects and challenges Questions/Answers</p>