



PRESENTS

OVERVIEW OF AML/CFT



Level (Low/Mid/Senior/High)	Mid/Senior/High
Duration	5 session of 1.5 hrs each
Target Audience	Reporting Entities as per Asset (Money) Laundering Prevention Act, 2008
Contents	<p>AML/CFT General Overview</p> <p>Customer Due Diligence (CDD)</p> <p>SAR/STR/TTR Reporting</p> <p>AML/CFT National Strategy and Risk Assessment</p> <p>Wrap Up Session</p>
Venue	NBI Hall, Kathmandu.
Date/ Time	Friday, 26th March(3:00pm to 6:15pm) including tea coffee cookies Saturday, 27th March, 2021 (9:00am to 2:15pm) lunch and Tea- cookies
Fee (Nrs.)	6,500 + VAT
Facilitator/s	<p>Mr. Dirgha Rawal, Director, Financial Information Unit, Nepal Rastra Bank.</p> <p>Ms. Priyanka Basnet, Deputy Director, Financial Information Unit, Nepal Rastra Bank.</p> <p>Mr. Medani Pokhrel, Deputy Director, Financial Information Unit, Nepal Rastra Bank.</p> <p>Mr. Sworup Shrestha, Deputy Director, Financial Information Unit, Nepal Rastra Bank.</p>

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Course Outline

Session 1	<u>Opening Session: AML/CFT General Overview</u> Concept of AML/CFT Stages of Money Laundering Terrorist Financing: Sources of funds & Methods to transfer funds Nepalese AML-CFT Regime Legislations and Regulations Role of Different Stakeholders Sanctions (Financial, Institutional or individual)
Session 2	<u>Customer Due Diligence (CDD)</u> Need for KYC and Update Prevailing Laws and Regulations (ALPA 2008), Unified Directives 19) Customer Acceptance Policy Customer Profiling Opening, Closing and Monitoring of Accounts Risk-based approach to CDD Beneficial Ownership Sanction and PEP Screening Three lines of Defense Emerging Issues
Session 3	<u>SAR/STR/TTR Reporting</u> Online KYC and Centralized KYC Artificial Intelligence and Bio-metric Online Reporting (go AML) Transaction Monitoring Predicate Offences STR Guidelines SAR/STR/TTR Reporting Typology of Report ML-TF Red Flags Other Reporting Requirement Emerging Issues
Session 4	<u>AML/CFT National Strategy and Risk Assessment</u> Overview of ALPA 2008 AML-CFT National Strategy and Action Plan (2076-81) Risk Assessment: Overview National Risk Assessment Institutional and Sectoral Risk Assessment Report (AML-CFT) (Customer, Product, Services, Geography, Delivery channel) Covid 19 and AML CFT related Risks
Session 5	<u>Wrap Up Session</u> Recap of Previous sessions and Discussion FATF Recommendations and Methodology Mutual Evaluation Emerging Issues Prospects and challenges Questions/Answers