



PRESENTS
**PREVENTING FRAUD & FINANCIAL
CRIMES CONFERENCE 2019**



Background

National Banking Institute (NBI) has been annually conducting **Fraud** related conferences. With the challenging business environment and the increase in fraud trends globally and in country, it would be an appropriate time for NBI to conduct this conference to reiterate the importance of Fraud Management.

Nepalese Banking Industry has been experiencing various scales of financial frauds and it is imperative that organizations have a clear understanding of the threat that corporate and financial fraud poses.

Threats and attacks are increasing rapidly in the financial sector globally and sadly none of the organization is immune to such unforeseen attacks.

Keeping this in view, NBI is pleased to present a one day conference on "**Preventing Fraud and Financial Crime Conference 2019**"

Program Details

Date: 28th November 2019

Time: 9:00am to 5:00pm

Venue: Hotel Radisson, Kathmandu

Program Objectives

The aim of this conference is to generate awareness on Fraud Risk management Principles and Strategies to impart the knowledge on various kinds of fraud and the preventive and detective measures that can be adopted. An effective fraud risk management framework will enable organizations to have controls that first prevent the fraud from occurring, detect as soon as a fraud happens and respond effectively to fraud incidents when they occur.

Program Contents

- Fraud Risk Management Principles & Strategy from Global and Local Perspective
- Trending Financial Crimes Globally
- Sharing of Best Practices in Prevention of Fraud
- Financial Crime Prevention in the Digital Age
- Current Issues & Challenges in Fraud Prevention
- The role of Corporate Governance, Ethics and Culture in Controlling Fraud and Corruption
- Indication of Various Financial Frauds

Target Audience

CEO, DCEO, Chief Operation Officer, Audit & Compliance Head, Operation Head, Business Head, Credit Head, Digital Banking Head, Risk Head, IT Head, Senior Managers.



NATIONAL BANKING INSTITUTE LTD.

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Program Itinerary

Time	Particular
9:00 am - 9: 30 am	Registration
9:30am to 10:00am	Opening Session & Inauguration
10:00am to 10:45am	<p>1. Topics: Fraud Risk Management Principles & Strategy from Global Perspective Speaker: Mr. Harshit Baxi Global Head – Internal Audit & Risk Management First Source Solutions Ltd., Mumbai, India Former VP-Global Compliance Risk & Controls, Barclays, Mumbai. (Time 45 minutes)</p>
10:45am to 11:00am	Tea/Coffee/Cookies Break
11:00am 11:45am	<p>2. Panel Discussion: Fraud Risk Management Principles and Strategy Panelists: 1. Mr. Harshit Baxi, Global Head – Internal Audit & Risk Management, First Source Solutions Ltd., Mumbai 2. Mr. Parshuram K. Chhetri, CEO, Janata Bank Ltd. Moderator: Mr. Bijendra Suwal, Executive Operation Officer, Nepal Investment Bank Ltd. (Time 45 minutes including Q & A)</p>
11:45am to 1:15pm	<p>3. Topics: Trending Financial Crimes Globally Speakers: Mr. Pramod Khandelwal, Senior Faculty Member, Fintelekt Advisory Services Pvt. Ltd., Mumbai, India. Former Head of Compliance, IndusInd Bank, Mumbai, India (Time 1hr 30 mins including Q & A)</p>
1:15pm to 2:00pm	Lunch Break
2:00pm to 3:15pm	<p>4. Topic: Financial Crime Prevention in the Digital Age Speaker: Mr. Sujit Shrestha, Chief Operating Officer and Mr. Kabir Tamrakar – Officer Operation Risk, Standard Chartered Bank Ltd. (Time 1hr 15 minutes including Q & A)</p>
3:15pm to 3:30pm	Tea/Coffee/Cookies Break
3:30pm to 4:45pm	<p>5. Panel Discussion: Current Issues & Challenges in the Banking Fraud Panelist: 1. Mr. Uttam Subedi, Senior, Superintendent of Police, Metropolitan Police Office 2. Executive Director from Nepal Rastra Bank 3. TBA Moderator: Mr. Sujit Shrestha, Chief Information Officer, Standard Chartered Bank Limited. (Time 1hr 15 minutes including Q & A)</p>
4:45pm to 5:00pm	Closing Ceremony

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Speakers Profile



Mr. Pramod Khandelwal, Senior Faculty Member, Fintelekt Advisory Services Pvt Ltd.

- Over 40 years of varied corporate experience in banks, NBFC, and manufacturing industry (with 20 years in State Bank of India).
- Been Chief Compliance Officer and Principal Officer in a Pvt. Sector Bank.
- Expert in banking compliance requirements and KYC/AML regulations.
- Advisory services to Fintech companies related to compliance, and conducting compliance related reviews.
- Faculty at various Programmes at Indian Institute of Banking and Finance (IIBF), Fintelekt, and various banks in India.
- Speaker at finance industry events related to AML/ CFT.
- Authored books for IIBF's programmes on JAIB, Compliance and KYC/ AML.
- B.Tech. (Hons.) from IIT, Kharagpur; Diploma in Business Finance; CAIIB.



Harshit Baxi
Global Head – Internal Audit & Risk Management
Firstsource Solutions Ltd., Mumbai, India

Harshit is seasoned Audit, Risk & Compliance professional with two decades of experience spanning over Banking, Insurance and BPM Industry verticals.

In his current role, he is responsible for driving Internal Audit & Enterprise Risk Management functions globally across India/US/UK/Philippines geographies. Prior to this, he has held leadership roles of CRO / CAE / CCO with

Citigroup, Barclays Bank, TATA AIG & Mizuho Bank. While working closely with Board, Risk / Audit Committee, Executive Mgmt. & Regulators, He has successfully set up and optimize Enterprise Risk, Audit and Compliance functions in global environment and contributed towards building sustainable Governance practices.

Harshit is “Insights Discovery” practitioner and passionately engages in Mentoring & Leadership development programs.

He visits academic institutions of eminence like IIM- Ahmedabad, SP Jain & other Mgmt. Institutes as Industry Expert Guest Faculty and also a regular speaker in various Industry Forums like IIA, RIMS, GRC Conferences.

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