



Presents
Seminar on Risk Management
2023



Background

In the dynamic realm of banking and finance, staying ahead demands a comprehensive grasp of risk management and regulatory frameworks. This seminar brings together professionals and experts from India, to delve into crucial topics. Notably, Mr. Mohan Sharma, Head of Operational and Outsourcing at ICICI Bank, will share insights on navigating limited data challenges in implementing IFRS 9. Additionally, Mr. Bharan Guntupalli, Head of Operational Risk Management Group at HDFC Bank, will shed light on enterprise risk management and non-financial risk. The event also features a presentation on environmental risk management's impact on banks' sustainability. Concluding with a panel discussion on AML/CFT risks in the South Asian context, this seminar is a knowledge-packed opportunity to gain industry-leading insights.

Program Details

Date: 14th September, 2023
Time: 9:00am to 5:00pm
Venue: Hotel Radisson, Kathmandu

Program Outline

Program Objectives

- Explore innovative approaches to managing IFRS 9 implementation challenges with limited data.
- Gain comprehensive understanding of enterprise risk management and non-financial risk strategies.
- Learn about the influence of environmental risk management on banks' long-term sustainability.
- Engage in discussions on AML/CFT risks within the South Asian context and the evolving regulatory landscape.

Target Audience

- Board of Directors
- Chief Executive Officer
- Chief Operating Officer
- Deputy CEOs,
- Deputy General Managers / Assistant General Managers
- Chief Risk Officers and other employees om Risk Management Department
- Credit Risk Management Heads
- Operational Risk Management Heads
- Treasury Heads & other employees
- Chief Finance Officers and Employees
- Chief Compliance Officers/ Chief Operating Officers
- Chief Financial Officers and employees
- Legal Heads
- Branch Managers, Business Heads, Operation Heads and employees, Branch Managers, Province Managers, Relationship Managers

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Facilitators' Details



Mr. Bharan Kumar Guntupalli

Executive Vice President (EVP), ML-TF risk in HDFC Bank, Mumbai, India

Bharan Kumar Guntupalli (Bharan) is an experienced Risk Professional and Banker with 20 plus years of experience in commercial banks and multi-lateral financial institution.

Bharan is currently working as an Executive Vice President (EVP) and heading the non-financial risk functions comprising operational risk, ML-TF risk in HDFC Bank, Mumbai, India. He is also responsible for new product and process approval governance in the Bank. In his previous role in HDFC Bank he was responsible for Enterprise Risk, non-financial risk and ICAAP functions of the Bank. HDFC Bank is one of the top 10 largest banks in the world based on market capitalization.

He has worked with new-age multilateral financial institution headquartered in Shanghai, China, New Development Bank (BRICS Bank) between 2018 to 2021. He was instrumental in setting up of Enterprise Risk, IT risk, cloud risk, IFRS 9-ECL models and various policies, products and systems for the institution.

In his previous experience, Bharan worked in another large private sector bank in India, ICICI Bank. He was instrumental in setting up of non-financial risk frameworks within India and overseas branches and subsidiaries. He also worked in Internal Audit function of the Bank. Bharan is a qualified Chartered Accountant and Company Secretary from India and an Associate Member of Chartered Institute of Management Accounts, UK. He has attained professional certifications namely Certified Information Systems Auditor, Certified Internal Auditor.

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Facilitators' Details



Mr. Mohan Sharma

Head of Operational and Outsourcing risk in ICICI Bank, Mumbai, India

Mr. Mohan Sharma is currently the Head of Operational and Outsourcing Risk in ICICI Bank, reporting to the Chief Risk Officer. He has been with ICICI for over 14 years and is responsible for managing non-financial risks such as model risk and process risk. Throughout his tenure in ICICI, Mr. Sharma has held a range of roles, including responsibility for operational risk, outsourcing risk, digital risk, and model risk.

Mr. Sharma has over 23 years of experience in business planning, structured products, finance, and risk management areas. He has worked for renowned companies like Coca Cola, Electrolux India, and Cholamandalam DBS. He is an Associate Member of Chartered Institute of Management Accountants in the UK, a CPA from CPA Australia, and a Cost Accountant from ICAI, India. Additionally, he holds a postgraduate degree in Commerce with a specialization in Finance.

During his 12 years in ICICI, Mr. Sharma has played a crucial role in implementing frameworks and methodologies such as IRB implementation, Formulation and implementation of Enterprise risk management and Risk appetite frameworks, design and implementation of capital allocation methodologies, stress testing scenarios, and overall ICAAP formulation. He has also provided support on US GAAP and IFRS implementation from the risk management group. Furthermore, Mr. Sharma is responsible for designing and reporting credit risk dashboards on a periodic basis for board and board-level committees.

- Associate Member of Chartered Institute of Management Accountants, UK,
- CPA from CPA Australia
- Cost accountant from ICAI, India

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Program Itinerary

Time	Particulars
9:00am - 9:30am	Registration & Tea/Coffee/Cookies
9:30am - 9:40pm	Opening Session
9:40am - 11:10 am	<p>Managing the Implementation of IFRS 9 with Limited Data: The Approach Adopted by Banks in India.</p> <p>Speaker: Mr. Mohan Sharma, Head of Operational and Outsourcing, ICICI Bank, Mumbai , India. Presentation followed by Q & A</p>
11:10am - 11:30am	Tea/Coffee/Cookies Break
11:30am - 1:00pm	<p>Enterprise Risk Management & Non-Financial Risk</p> <p>Speaker: Mr. Bharan Guntupalli, Head - Operational Risk Management Group (ORMG), HDFC Bank, Mumbai, India.</p> <p>Presentation followed by Q & A</p>
1:00pm to 2:00pm	Lunch Break
2:00pm - 3:15pm	<p>The Impact of Environmental Risk Management on Banks' Sustainability</p> <p>Speaker: Mr. Jahanzeb Murad, E & S Expert, International Finance Corporation (IFC).</p> <p>Presentation followed by Q & A</p>
3:15pm - 3:40pm	Tea/Coffee/Cookies Break
3:40pm - 5:15pm	<p>Presentation on AML/CFT Mutual Evaluation 2023: Insights and Implications Speaker: Dr. Hari Kumar Nepal, Deputy Director, Nepal Rastra Bank, AML Cell, Ministry of Finance (20 minutes)</p> <p>Panel Discussion Session AML/ CFT Risk in South Asian Context Panelist: Mr. Bharan Guntupalli, Head - Operational Risk Management Group (ORMG), HDFC Bank, Mumbai, India. Mr. Sanjay Ballav Pant, Head of Conduct, Financial Crime & Compliance (CFCC) and Country Chief Risk Officer, Standard Chartered Bank Nepal Ltd.</p> <p>Moderator: Ms. Binita Jain, Compliance Head, Laxmi Sunrise Bank Ltd. Panel Discussion followed by Q & A. (1.15hours)</p>
5:15pm - 5:25pm	Closing