



TRAINING OF TRAINERS ON AML/CFT & KYC



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Due to increase in illegal activities to generate income, money laundering activities are increasing across the globe.

Money Launderer use Bank & Financial Institutions (BFIs) as a medium to clean the illegally or criminally earned money by bringing such money into the financial system through different means such as deposits of cash, drafts, wire transfers and other financial instruments.

Collective effort from Board of Directors, Senior Management and all staffs are required to save the organizations and staff from Money Laundering risks. Such a synergistic effort would be possible only when all the related people get required knowledge relating to AML & CFT. Similarly, it would be practically difficult to send all the related people on the Trainings outside the office.

Therefore, NBI has designed a two days' Training of Trainers aiming to train the Senior Staffs of BFIs so that they could train other staffs at BFIs and create AML/CFT & KYC compliance culture at the BFIs.

NBI Terms and Conditions

Fee/ Charges : Cancellation/ withdrawal of participants must be done 24 hours prior to start of the program. If participants does not attend the program without cancellation, full charge should be levied to the client.

NATIONAL BANKING INSTITUTE LTD.

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Program Takeaways

Participants and institutions will be able to reap up the following harvests:

- Understanding how minimum resources and staffs can work on AML/CFT
- Understanding how AML/CFT is not a hurdle but helps in expanding business
- Understanding individual and institutional roles on AML/CFT
- Understanding linkage between Banking and AML/CFT measures and their inseparability
- Using appropriate tools to assess and mitigate risks
- Understanding Easy Modalities and Focusing on the high risk areas
- Understanding Benefits of applying AML/CFT (including KYC/CDD) in the organization.
- Understanding the way of dealing with customers in relation to AML/CFT
- Understanding the Tools to train Junior staffs to face with the customers
- Understanding the Precautionary Measures Required to manage problems regarding AML/CFT in the course of day to day business
- Understanding the matters and mechanisms of reporting to high level officials
- Understanding the supervisory modality and measures to compliance
- Understanding sanctions and their vicious impacts on individual, institution and the national for non-compliance
- Understanding how AML/CFT policies and procedures are developed for the institution
- Understand the concept, values, benefits of AML/CFT measures together with Nepalese context and their benefits to individual institution

Objectives

- To produce Trainers at BFIs
- To impart training of international standard in compatibility with local systems
- To develop economic, institutional friendly, customer supportive and objective oriented AML/CFT system

Method

- Lecture
- Interaction
- Participatory discussion
- Mock Practices
- Exercise

Program Detail

Target: Compliance Head or Senior Officials of BFIs

Duration : 2 Days

Date : 22nd & 23rd January 2016

Time: : 9:30am to 5pm

Venue : NBI Hall, Kathmandu

Trainer:

Mr. Hari Kumar Nepal

Deputy Director (NRB)

Financial Information Unit

Advocate/Certified Anti-Money Laundering Specialist (CAMS)

Ph.D. Research Scholar on AML/CFT



Course Outline

Day 1:

Session & Time	Details
Session1 9:30am to 11:00pm	AML/CFT : Demystifying AML/CFT Concepts Concept <ul style="list-style-type: none"> • Stages • Types • Glossaries
15 minutes	Tea/Coffee Break
Session 2 11:15pm to 12:45pm	AML/CFT : Exploring Normative Foundations <ul style="list-style-type: none"> • International Initiatives • International Organizations • International Standards • Regional Initiatives
45 minutes	Lunch Break
Session 3 1:30pm to 2:45pm	AML/CFT : Conducting Operations on Core Core Functions <ul style="list-style-type: none"> • Special Areas • ECDD • Case Studies/Exercises
15 minutes	Tea/Coffee Break
Session 4 3:00pm to 4:30pm	AML/CFT: Developing Risk Assessment and Management System <ul style="list-style-type: none"> • Risk Assessment • RBA • Tools and Methods • AML/CFT Policy • Capacity Building • Exercises

Day 2:

Session & Time	Details
Session1 9:30am to 11:00pm	<u>Continue</u> AML/CFT: Developing Risk Assessment and Management System
15 minutes	Tea/ Coffee Break
Session 2 11:15pm to 12:45pm	AML/CFT: Building Spontaneous Reporting System <ul style="list-style-type: none"> • Red flags • Monitoring • Reading between lines • Investigation • Reporting • Case Studies and Exercises
45 minutes	<u>Lunch Break</u>
Session 3 1:30pm to 2:45pm	AML/CFT : Supporting Supervision <ul style="list-style-type: none"> • Prime Supervisory Concerns • Regulatory Tools • Supervisory Tools (Off/On Sites)
15 minutes	<u>Tea/ Coffee Break</u>
Session 4 3:00pm to 4:30pm	AML/CFT : Enforcement and Cooperation <ul style="list-style-type: none"> • Investigative, Prosecutorial and Judicial Approaches, Expectations and Limitations • Case Studies.

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