



**PRESENTS**  
**PROGRAM ON**  
**APPLICATIONS SUPPORTED BY**  
**BLOCKED AMOUNT (ASBA)**



Background	SEBON has introduced a mechanism of application(ASBA) to the public issue for the first time in Nepal. Previous hassle of application has been cut down and lengthy process of application has been shortening down.
Level	MID level to Senior level
Duration (in Days)	One Day
Target Audience	Staffs from Bank and Financial Institutions
Program delivery	Lecture, Discussion Case Study of Issue experience
Date, Venue & Time	9th June 2018 (8:00am to 3:30pm), Hotel Ratna, Biratnagar
Program takeaway:	<ul style="list-style-type: none"> <li>• Trainees can gain expertise and facilitate easy operation of application of issue through FPO/IPO.</li> </ul>

## Course Outline

Time	Content
Session 1 8:00am to 9:30am	<ul style="list-style-type: none"> <li>• History of Nepalese Capital Market</li> <li>• Types – Primary and Secondary</li> <li>• Nepal Stock Exchange and key terminologies</li> <li>• Securities Board of Nepal and its policies</li> <li>• Market Participants (Merchant Banker, Fund Manager, CDSC, Stock Broker, Depository Participants, Credit Rating Agency, C-ASBA members, Market Maker and Dealer, Institutional and Retail Investors)</li> </ul>
9:30am to 9:45am	Tea Break
Session II 9:45am to 11:15am	<ul style="list-style-type: none"> <li>• Other regulators</li> <li>• Merchant Banking Services                             <ul style="list-style-type: none"> <li>⇒ Issue Management</li> <li>⇒ RTA/RTS</li> <li>⇒ Depository Participant</li> </ul> </li> <li>• Fund Management Services                             <ul style="list-style-type: none"> <li>⇒ Mutual Fund</li> <li>⇒ Portfolio Management Services</li> <li>⇒ Corporate Advisory Services</li> </ul> </li> <li>• Investment Research and Analysis                             <ul style="list-style-type: none"> <li>⇒ Fundamental Analysis (Economic Analysis, Industry Analysis and Company Analysis)</li> <li>⇒ Technical Analysis</li> </ul> </li> <li>• Primary Market Mechanism</li> <li>• Secondary Market Mechanism</li> </ul>
11:15am to 12:00pm	Lunch Break
Session 1 12:00pm to 1:30pm	<ul style="list-style-type: none"> <li>• Integration of C-ASBA / Meroshare in ASBA</li> <li>• Participants in C-ASBA system</li> <li>• Process of C-ASBA system</li> </ul>
1:30pm to 1:45pm	Tea Break
Session 1 1:45pm to 3:15pm	<ul style="list-style-type: none"> <li>• Bank account update and C-ASBA registration</li> <li>• C-ASBA workflow for online application</li> <li>• C-ASBA workflow for physical application</li> </ul>

### Facilitator Profile

**Mr. Mekh Bahadur Thapa**  
General Manager

Mekh Bahadur Thapa is a Chartered accountant holding professional memberships of Institute of Chartered Accountants of India and Institute of Chartered Accountants of Nepal. He has more than 8 years of working experience in various fields such as Accounting, Auditing, Financial Analysis and Capital Market. Prior to joining NIBL Capital he worked as Chief Operating Officer and Company Secretary of Siddhartha Capital Limited for 3 years. He was one of the core members of the team that setup Siddhartha Capital Limited. He has worked with SEBON for about 4 years and headed the Financial Information analysis, Management and International Affairs Department. He was also involved in various committees at SEBON and Accounting Standard Board where he contributed to various policies making related work of the Capital Market.

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