



PRESENTS  
PROGRAM ON  
APPLICATIONS SUPPORTED BY  
BLOCKED AMOUNT (ASBA)



Background	SEBON has introduced a mechanism of application(ASBA) to the public issue for the first time in Nepal. Previous hassle of application has been cut down and lengthy process of application has been shortening down.
Level	MID level to Senior level
Duration (in Days)	Half day
Target Audience	Staffs from Bank and Financial Institutions
Program delivery	Lecture, Discussion Case Study of Issue experience
Date, Venue & Time	24th November 2017 (3:00pm to 6:00pm), NBI Hall, Kathmandu
Program takeaway:	<ul style="list-style-type: none"> <li>• Trainees can gain expertise and facilitate easy operation of application of issue through FPO/IPO.</li> </ul>
Contents	<ul style="list-style-type: none"> <li>• Background of IPO/FPO application procedure.</li> <li>• Introduction of ASBA, Key features, Benefits</li> <li>• Legal Structure of ASBA and licensing</li> <li>• Process of submitting application through ASBA</li> <li>• Reporting by the bank to Issue Manager (daily and on issue completion)</li> <li>• Reporting by the Issue manager to the bank after allotment</li> <li>• Final procedure of unblocking accounts and credit of Issue Managers account</li> </ul>

NATIONAL BANKING INSTITUTE LTD.

Central Plaza, 6<sup>th</sup> Floor, Narayanchour, Naxal, Kathmandu, Nepal.  
T : 977-1-4415903/905, 4436001 • F: 977-1-4441351  
info@nbi.com.np • www.nbi.com.np

## Course Outline

Time	Content
Session 1 3:00pm to 4:30pm	<ul style="list-style-type: none"><li>• Introduction to ASBA</li><li>• History of ASBA, Features, Licensing and legal procedure (Pre Allotment Activities)</li><li>• Application Procedure</li><li>• Reporting Procedure daily and at the end of Issue</li><li>• Reporting to the bank by Issue manager</li></ul>
	Hi- Tea (15minutes)
Session II 4:45pm to 6:00pm	(Post Allotment Activities) <ul style="list-style-type: none"><li>• Release of Bank Account</li><li>• Capital Transfer</li><li>• Fees Payment to the Issue Manager</li></ul>

### Facilitator Profile

Mr. Mekh Bahadur Thapa  
General Manager

Mekh Bahadur Thapa is a Chartered accountant holding professional memberships of Institute of Chartered Accountants of India and Institute of Chartered Accountants of Nepal. He has more than 8 years of working experience in various fields such as Accounting, Auditing, Financial Analysis and Capital Market. Prior to joining NIBL Capital he worked as Chief Operating Officer and Company Secretary of Siddhartha Capital Limited for 3 years. He was one of the core members of the team that setup Siddhartha Capital Limited. He has worked with SEBON for about 4 years and headed the Financial Information analysis, Management and International Affairs Department. He was also involved in various committees at SEBON and Accounting Standard Board where he contributed to various policies making related work of the Capital Market.

### Terms and Conditions

Fee/ Charges :

1. Cancellation/ withdrawal of participants must be done 24 hours prior to start of the program. If participant does not attend the program without cancellation, full charge shall be levied to the client.

NATIONAL BANKING INSTITUTE LTD.

Central Plaza, 6<sup>th</sup> Floor, Narayanchour, Naxal, Kathmandu, Nepal.  
T : 977-1-4415903/905, 4436001 • F: 977-1-4441351  
info@nbi.com.np • www.nbi.com.np