



PRESENTS

# INTERNAL AUDIT IN BANKS

IT'S PRINCIPLES & PRACTICES



## Broad Contents

<b>Backgrounds</b>	<ul style="list-style-type: none"> <li>• What is I Audit?</li> <li>• Types of Audits?</li> <li>• What is internal Audit?</li> <li>• Role of Internal Audit?</li> <li>• Internal Control Vs Internal Audit</li> <li>• Regulatory Framework</li> <li>• Audit Committee</li> </ul>
<b>Various areas &amp; issues in Bank Audit</b>	<ul style="list-style-type: none"> <li>• Risk Assets</li> <li>• Liability</li> <li>• Operations               <ul style="list-style-type: none"> <li>⇒ Branch</li> <li>⇒ Trade</li> <li>⇒ Credit Administration</li> <li>⇒ Others</li> </ul> </li> <li>• Finance &amp; Treasury</li> <li>• Compliance</li> <li>• Human Recourse</li> <li>• Admin</li> <li>• Other Issues               <ul style="list-style-type: none"> <li>⇒ KYC</li> <li>⇒ AML</li> </ul> </li> </ul>
<b>New Avenues</b>	<ul style="list-style-type: none"> <li>• Risk Management</li> <li>• IS Audit</li> <li>• Corporate Governance</li> <li>• Audit Ratings</li> <li>• Risk based Internal Audit</li> </ul>
<b>Regulatory Perspective of Audit</b>	

## Trainer's Profile



Mr. Prabin Basnet, FCA (Follow Chartered Accountant) has been with NIC Asia for 5 years currently working as the Chief Finance officer. Mr. Basnet has past experiences in the banking sector in NB Bank and Nabil Bank as Head- Operations and Internal Auditor respectively.

During his tenure as Head of Administration, his major role was to manage effective cost management practices in place, administrative controls and management in place with regards to efficient use of resources like fixed assets etc., support business and other units to deliver their objectives smoothly.

As a part of his major accomplishment he has bagged second runner up for Best Presented Financial Accounts- Banking – Two times consecutively for FY 09/10 and 10/11 provided by Institute of chartered Accountants of Nepal. He has also

managed the cost and efficiency of operations without compromising quality and delivery by the use of novel technologies like Share Point, UPS, VOIP Installation, cost sharing between units, streamlining process and systems. Besides his expertise in administration, he has imparted over 100 sessions in Banking related trainings, Operation risk management, operation management, financial management, treasury, banking for beginners, budget preparation,

### Program Details

Target Audience: People working in Audit Dept.

Date: 30th & 31st July, 2015

Time: 30th July (9:30am to 5pm), 31st July (9:30am to 1:00pm)

Trainer: Mr. Prabin Basnet

Venue: NBI Hall, Kathmandu

### Terms and Conditions

Fee/ Charges :

1. Cancellation/ withdrawal of participants must be done 24 hours prior to start of the program. If participant does not attend the program without cancellation, full charge shall be levied to the client.

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